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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

*(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))*

Attorney Docket No. 016930-000816US

*First Inventor* Engler, Heidrun

**Title** COMPOSITIONS AND METHODS FOR ENHANCING DELIVERY OF THERAPEUTIC AGENTS TO CELLS

Express Mail Label No EL 823671315 US

APPLICATION ELEMENTS		ADDRESS TO	Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
See MPEP chapter 600 concerning design patent application contents.			
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original and a duplicate for fee processing)</i>	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)		
2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.	8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i>		
3. <input checked="" type="checkbox"/> Specification [Total Pages 57] <i>(preferred arrangement set forth below)</i>	a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper number of pages c. <input type="checkbox"/> Statements verifying identity of above copies		
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C.113) [Total Sheets 35] 5. Oath or Declaration [Total Pages 4]	<b>ACCOMPANYING APPLICATIONS PARTS</b>		
a. <input type="checkbox"/> Newly executed (original or copy)	9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))		
b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) <i>(for a continuation/divisional with Box 18 completed)</i>	10. <input checked="" type="checkbox"/> 37 C.F.R. §3.73(b)Statement <input checked="" type="checkbox"/> Power of Attorney 11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i>		
i. <input type="checkbox"/> <b><u>DELETION OF INVENTOR(S)</u></b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i> 15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i> 16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent 17. <input checked="" type="checkbox"/> Other: Letter to Official Draftsperson, w/ 35 sheets formal drawings		
6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76			

18. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation       Divisional       Continuation-in-part (CIP)  
*Prior application information:*      Examiner Michael C. Wilson

of prior application No 09 / 112,074

Group Art Unit 1635

**For CONTINUATION or DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	20350 (Insert Customer No. or Attach bar code label here)	or <input type="checkbox"/> Correspondence address below
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Name (Print/Type)	Joseph R. Snyder	Registration No. (Attorney/Agent)	39,381
Signature		Date	January 22, 2002

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 1544

Complete if Known	
Application Number	Unassigned
Filing Date	January 22, 2002
First Named Inventor	Engler, Heidrun
Examiner Name	Unassigned
Group Art Unit	Unassigned
Attorney Docket No.	016930-000816US

METHOD OF PAYMENT		FEE CALCULATION (continued)	
1. <input checked="" type="checkbox"/>	The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:	3. ADDITIONAL FEES	
Deposit Account Number	20-1430	Large Fee Code	Entity Fee (\$)
Deposit Account Name	Townsend and Townsend and Crew LLP	Small Fee Code	Entity Fee (\$)
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Fee Description	Fee Paid
2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other		105 130 205 65	Surcharge - late filing fee or oath
		127 50 227 25	Surcharge - late provisional filing fee or cover sheet.
		139 130 139 130	Non-English specification
		147 2,520 147 2,520	For filing a request for reexamination
		112 920* 112 920*	Requesting publication of SIR prior to Examiner action
		113 1,840* 113 1,840*	Requesting publication of SIR after Examiner action
		115 110 215 55	Extension for reply within first month
		116 400 216 200	Extension for reply within second month
		117 920 217 460	Extension for reply within third month
		118 1,440 218 720	Extension for reply within fourth month
		128 1,960 228 980	Extension for reply within fifth month
		119 320 219 160	Notice of Appeal
		120 320 220 160	Filing a brief in support of an appeal
		121 280 221 140	Request for oral hearing
		138 1,510 138 1,510	Petition to institute a public use proceeding
		140 110 240 55	Petition to revive – unavoidable
		141 1,280 241 640	Petition to revive – unintentional
		142 1,280 242 640	Utility issue fee (or reissue)
		143 460 243 230	Design issue fee
		144 620 244 310	Plant issue fee
		122 130 122 130	Petitions to the Commissioner
		123 50 123 50	Petitions related to provisional applications
		126 180 126 180	Submission of Information Disclosure Stmt
		581 40 581 40	Recording each patent assignment per property (times number of properties)
		146 740 246 370	Filing a submission after final rejection (37 CFR § 1.129(a))
		149 740 249 370	For each additional invention to be examined (37 CFR § 1.129(b))
		179 740 279 370	Request for Continued Examination (RCE)
		169 900 169 900	Request for expedited examination of a design application
		Other fee (specify)	

FEE CALCULATION																																					
1. BASIC FILING FEE <table border="1"> <thead> <tr> <th>Large Fee Code</th> <th>Entity Fee (\$)</th> <th>Small Fee Code</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>101</td> <td>740</td> <td>201</td> <td>370</td> <td>Utility filing fee</td> <td>740</td> </tr> <tr> <td>106</td> <td>330</td> <td>206</td> <td>165</td> <td>Design filing fee</td> <td></td> </tr> <tr> <td>107</td> <td>510</td> <td>207</td> <td>255</td> <td>Plant filing fee</td> <td></td> </tr> <tr> <td>108</td> <td>740</td> <td>208</td> <td>370</td> <td>Reissue filing fee</td> <td></td> </tr> <tr> <td>114</td> <td>160</td> <td>214</td> <td>80</td> <td>Provisional filing fee</td> <td></td> </tr> </tbody> </table>		Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid	101	740	201	370	Utility filing fee	740	106	330	206	165	Design filing fee		107	510	207	255	Plant filing fee		108	740	208	370	Reissue filing fee		114	160	214	80	Provisional filing fee	
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2. EXTRA CLAIM FEES <table border="1"> <thead> <tr> <th>Total Claims</th> <th>60</th> <th>-20**</th> <th>= 40</th> <th>Extra Claims</th> <th>Fee from below</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>Independent Claims</td> <td>4</td> <td>-3**</td> <td>= 1</td> <td>X \$18</td> <td>= \$720</td> <td></td> </tr> <tr> <td>Multiple Dependent</td> <td></td> <td></td> <td></td> <td>X \$84</td> <td>= \$84</td> <td></td> </tr> </tbody> </table>		Total Claims	60	-20**	= 40	Extra Claims	Fee from below	Fee Paid	Independent Claims	4	-3**	= 1	X \$18	= \$720		Multiple Dependent				X \$84	= \$84																
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SUBTOTAL (2) (\$804)																																					

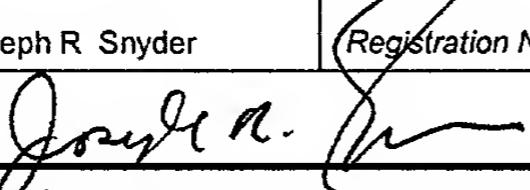
\*\*or number previously paid, if greater; For Reissues, see above

The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)

SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	Joseph R. Snyder	Registration No. (Attorney/Agent)	39,381	Telephone	925-472-5000
Signature				Date	January 22, 2002

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